

06-24-03.

Express Mail No.: EV33585

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Robarge et al.

Confirmation No. 6358

Application No.: 10/032,286

Group Art Unit: 1625

Filed: December 21, 2001

Examiner: Chang, Celia C.

For:

ISOINDOLE-IMIDE COMPOUNDS,

Attorney Docket No.: 9516-0048-999

COMPOSITIONS, AND USES

THEREOF

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.56 AND § 1.97

Assistant Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

SIR:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 and § 1.97 to inform the Patent and Trademark Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby direct the Examiner's attention to references AA-CN listed on the attached revised form PTO 1449 entitled "List of References Cited by Applicants." The instant application is a continuation of patent application Serial No. 09/972,487, filed October 5, 2001. References AA through CC on the attached revised form PTO 1449 were cited by or submitted to the Patent Office in connection with patent application Serial No. 09/972,487, to which the instant application claims priority pursuant to 35 U.S.C. § 120. Pursuant to 37 C.F.R. § 1.98(d), the Examiner is directed to the file of application Serial No. 09/972,487 for copies of references AA-CC; however, if the examiner requests copies of the cited references, legible copies will be provided. Copies of the references CD to CN are submitted herewith. Applicant respectfully requests that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

This Information Disclosure Statement is being filed after the mailing date of the first Office Action on the merits and before the mailing of final Office Action or a Notice of Allowance. Accordingly, a fee of \$180.00, as specified by 37 C.F.R.\§1.17(p), is believed to be required for this submission. Please charge the required fee to Pennie & EdmondsLLP Deposit Account No. 16-1150. A copy of this sheet of this is enclosed for accounting purposes.

Respectfully submitted,

Date

June 23, 2003

tutlon M. brown 35203

Anthony M. Insogna

(Reg. No.)

PENNIE & EDMONDS LLP 1155 Avenue of the Americas New York, New York 10036-2711 By: Nutelif D. Cay /4. Res No. 51,615

(212) 790-9090

Enclosure

JUN 2 3 2003 JUN 2

Express Mail No EV335855683US

ATTY. DOCKET NO.

APPLICATION NO.

9516-0048-999

10/032,286

APPLICANT

Robarge et al.

FILING DATE

GROUP

December 21,

1625

2001

U.S. PATENT DOCUMENTS

(Use several sheets if necessary)

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
ANTIPLE	AA	3,992,189	11/16/76	Goddard			
	AB	5,045,108	9/3/91	Elbe et al.			
	AC	5,198.402	3/30/93	Kaji et al.			
	AD	5,326,800	7/5/94	Horn et al.	ECH	20	
	AE	5,385,901	1/31/95	Kaplan et al.	CF CF	2 C	
	AF	5,605,914	2/25/97	Muller	TES .	20 11	
	AG	5,635,517	6/3/97	Muller et al.	1160	2003 H	
	AH	5,658,940	8/19/97	Muller et al.	CENTER 1600/2900	8 11	
	AI	5,698,579	12/16/97	Muller	8		
	AJ	5,703,098	12/30/97	Muller et al.			
	AK	5,728,845	3/17/98	Muller et al.			
	AL	5,736,570	4/7/98	Muller et al.			
	AM	5,798,368	8/25/98	Muller et al.			
	AN	5,801,195	9/1/98	Muller et al.			
	AO	5,874,448	2/23/99	Muller et al.			
•	AP	5,877,200	3/2/99	Muller			
	AQ	5,929,117	7/27/99	Muller et al.			
	AR	5,955,476	9/21/99	Muller et al.			
	AS	5,968,945	10/19/99	Muller et al.			
	AT	6,011,050	1/4/00	Muller et al.			
	AU	6,020,358	2/1/00	Muller et al.			
	AV	6,046,221	4/4/00	Muller et al.			
	AW	6,075,041	6/13/00	Muller			
	AX	6,130,226	10/10/00	Muller et al.			
	AY	6,180,644	1/30/01	Muller et al.			
	AZ	6,200,987	3/13/01	Muller			
	BA	6,214,857	4/10/01	Muller et al.			

lin a		2)	FOREIG	N PATENT DOCUMENTS				
<u> </u>	3 2003	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	SLATION
 -	BBC BC	EP 0 797 437	10/1/97	Europe				
€.ŬEπ	BC	EP 1 004 572	5/31/00	Europe				
	.BD	EP 1 004 580	5/31/00	Europe				
	BE	EP 1 004 581	5/31/00	Europe				
	BF	WO 00/25777	5/11/00	PCT				
•	BG	WO 00/38521	7/6/00	PCT				
	BH	WO 00/55134	9/21/00	PCT				
	BI	WO 92/18496	10/29/92	PCT				
	BJ	WO 95/01348	1/12/95	PCT				
	BK	WO 96/20705	7/11/96	PCT	司		DD	
	BL	WO 96/20926	7/11/96	PCT	HO31	ر	m	
	BM	WO 97/08143	3/6/97	PCT	盈	Z	0	
	BN	WO 97/12859	4/10/97	PCT	雷	29		
	ВО	WO 97/23457	7/3/97	PCT		6 2	<	
. ,	BP	WO 97/37988	10/16/97	PCT	1600	2003	Ш	
	BQ	WO 98/03502	1/29/98	PCT	130	<u> </u>	O	
	BR	WO 98/06692	2/19/98	PCT	-			
	BS	WO 98/24763	6/11/98	PCT				
	BT	WO 98/41525	9/24/98	PCT				
	BU	WO 98/54170	12/3/98	PCT				
	BV	WO 99/06041	2/11/99	PCT				
	BW	WO 99/46258	9/16/99	PCT				
	BX	WO 99/47512	9/23/99	PCT				
	.L	OTHER REFEI	RENCES (Inc	cluding Author, Title, Date, Pertinent I	Pages, 1	Etc.)		
	BY			l cytokine modulation and T cell activa s that are potent inhibitors of TNF-\alpha",				386
	BZ	He et al., 1993, "Synthesis of thalidomide analogs and their biological potential for treatment of graft versus host disease", Abstracts of Papers, 206 th ACS National Meeting, Abstract No. 216						
	CA	Muller et al., 1996, "Structural modifications of thalidomide produce analogs with enhanced tumor necrosis factor inhibitory activity", J. Med. Chem. 39:3238-3240						
	СВ	Muller et al., 1998, "Thalidomide analogs and PDE4 inhibition" Bioorg. Med. Chem. Lett. 8:2669-2674						
	CC	Muller et al., 1999	, "Amino-sub	estituted thalidomide analogs: potent in	hibitors	of TNF	-α	
				m. Lett. 9:1625-1630				

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

OIPE	4017	Sun	EIVE	γ	`)					
JUN 2 3 2003 W 2003 W 2003					ATTY DOCKET NO. APPLICATION NO 9516-0048-999 10/032,286					
LISTROFE	e fer	RENCES CITED BY (Use several sheets if no	APP OPA	NT	APPLICANT Robarge et al.					
					FILING DATE December 21, 2	001	GROUP 1625			
U.S. PATENT DOCUMENTS										
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	N/	AME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
	CD	3,992,189	11/16/76	Goddard						

		FOREIG	N PATENT DOCUMENTS				
 	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSL	ATION
						YES	NO
CE	WO 97/45117	12/4/97	PCT				

 CE	Dundsond "Design of medence" Flaggier Ameterdam, New York, Oxford n 27 42 (1086)					
CF Bundgaard, "Design of prodrugs" Elsevier, Amsterdam - New York - Oxford, p.27-4						
CG	Corral et al., 1996, "Selection of novel analogs of thalidomide with enhanced tumor necrosis factor alpha inhibitory activity" Mol. Med. Jul;2(4):506-15					
СН	Database CAPLUS on STN (Columbus, OH, USA), No. 118:131893, 'The hydrolysis of azidoprofen esters: a model for a soft anti-inflammatory drug for topical application' Int. J. Phar. Vol. 89, p. 65-74 (1993), abstract.					
CI	Database CAPLUS on STN (Columbus, OH, USA), No. 128:140615, 'Substituted 2-(2,6-dioxo-3-piperidinyl)phthalimides and 1-oxoisoindolines and method of reducing TNF-alpha levels' WO98/03502, abstract and registry no. 191732-76-0, 202271-87-2, 202271-88-3, 202271-89-4, 202271-90-7.					
CJ	Database CAPLUS on STN (Columbus, OH, USA), No. 130:38290, 'Substituted 2-(2,6-dioxo-3-piperidinyl)phthalimides and 1-oxoisoindolines and method of reducing TNF-alpha levels' WO98/54170, abstract and registry no. 202271-88-3, 216669-27-1, 191732-72-6.					
CK	Database CAPLUS on STN (Columbus, OH, USA), No. 131:214197, 'Preparation of 2-(2,6-dioxo3-fluoropiperidin-3-yl) isoindolines for reducing inflammatory cytokine levels' US 5,955,476, abstract and registry no. 220460-56-0, 220460-57-1, 220460-62-8, 220460-64-0.					
CL	Marriott et al., 2001, "Immunotherapeutic and antitumor potential of thalidomide analogue" Expert Opin. Biol. Ther. Jul;1(4):675-82. Review					
СМ	Miyachi et al. 1998, "Tumor necrosis factor-alpha production enhancing activity of substituted 3'-methylthalidomide: influence of substituents at the phthaloyl moiety on the activity and stereoselectivity" Chem. Pharm. Bull. (Tokyo). Jul;46(7):1165-8.					
CN	Price et al., 2002, "5'-OH-thalidomide, a metabolite of thalidomide, inhibits angiogenesis" Ther. Drug monit. Feb;24(1):104-10.					

EXAMINER	DATE CONSIDERED						
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							